

Received on:

Acknowledged on:

Application no:

Certification Application Form for CB Affiliate and ECF Affiliate

Important Notes:

1. You are required to complete this application form by providing the required information accurately.
2. To maintain this Affiliate Designation status in the coming years, you are required to:
 - a. maintain a valid HKIB membership; and
 - b. fulfil the HKIB Continuing Professional Development (CPD) requirements.
3. Default Member should apply for reinstatement and settle the fee before applying for Affiliate Designation(s).
4. Please complete and submit the SIGNED application form together with the required documents via email to cert.gf@hkib.org or by post/in person to The Hong Kong Institute of Bankers (HKIB).
5. The certification processing time will require approximately 1 month.

Section A: Personal Particulars ¹

Title: ☐ Mr ☐ Ms ☐ Dr ☐ Prof		HKIB Member: ☐ Yes _____ ☐ No (Membership No.)	
Name in English ² : (Surname) (Given Name)		Name in Chinese ² :	
HKID/Passport Number:		Date of Birth: (DD/MM/YYYY)	
Contact Information			
(Primary) Email Address ³ :		Mobile Phone Number:	
(Secondary) Email Address:			
Correspondence Address:			
Employment Information			
Name of Current Employer:		Office Telephone Number:	
Position/Functional Title:		Department:	
Office Address ⁴ :			

Notes:

1. Put a "✓" in the appropriate box(es).
2. Information as shown on identity document.
3. All the HKIB communication will be sent to the Primary Email Address (Personal email preferred).
4. Provide if not the same as the correspondence address above.

Section B: Indication of Certification Applied

Please indicate the designation(s) applied for by putting a "✓" in the appropriate box(es).

Type of Affiliate Designation				
☐ CB Affiliate				
	☐ CB Stage I	☐ CB Stage II	☐ CB Stage	
☐ ECF Affiliate				
AML/CFT	☐ AAML P	☐ CAMLP		
Compliance	☐ ACOP	☐ CCOP(GC)	☐ CCOP(IIC)	
CRM	☐ ACRP	☐ CCRP(CL)	☐ CCRP(CPM)	
Cybersecurity	☐ ACsP			
Fintech	☐ CPFinT(A)	☐ CPFinT(M)	☐ CPFinT(S-AIBD)	
	☐ CPFinT(S-DLT)	☐ CPFinT(S-OBAPI)	☐ CPFinT(S-RT)	
GSF	☐ AGFP(PS)	☐ AGFP(ClimRM)	☐ AGFP(SDR)	☐ AGFP(SSCC)
	☐ CGFP(GSF-P)	☐ CGFP(GSF-I)	☐ CGFP(SRM)	☐ CGFP(SDR)
	☐ CGFP(SSCC)			
ORM	☐ AORP	☐ CORP		
RWM	☐ ARWP	☐ CRWP		

Please indicate the reason for applying for Affiliate Designation(s) by putting "✓" in the appropriate box(es).

Reason ⁵
☐ Non-current Relevant Practitioners in the banking industry (as defined by the HKMA) ☐ Full-time post-secondary students ☐ Current banking practitioners in non-relevant job roles ☐ Practitioners in non-banking fields
☐ Current Relevant Practitioners in the banking industry (as defined by the HKMA) who do not possess sufficient relevant working experience for certification requirements
☐ For holders of HKIB Programme Diplomas or Certificates awarded before January 2024
☐ Others (Please specify: _____)

Note:

5. No application is required if you have completed a CB/ECF Programme **after 2024**, since an Affiliate designation will be complementarily granted to you within one month of receiving your digital programme certificate.

Section C: Declaration Related to Disciplinary Actions, Investigations for Non-compliance and Financial Status

Put a “✓” in the appropriate box(es). If you have answered “Yes” to any of the questions, provide details by attaching all relevant documents relating to the matter(s).

1. Have you ever been reprimanded, censured, disciplined by any professional or regulatory authority?	☐ Yes	☐ No
2. Have you ever had a record of non-compliance with any non-statutory codes, or been censured, disciplined or disqualified by any professional or regulatory body in relation to your profession?	☐ Yes	☐ No
3. Have you ever been investigated about offences involving fraud or dishonesty or adjudged by a court to be criminally or civilly liable for fraud, dishonesty or misfeasance?	☐ Yes	☐ No
4. Have you ever been refused or restricted from the right to carry on any profession for which a specific license, registration or other authorisation is required by law?	☐ Yes	☐ No
5. Have you ever been adjudged bankrupt, or served with a bankruptcy petition?	☐ Yes	☐ No

Section D: Privacy Policy Statement

It is our policy to meet fully the requirements of the Personal Data (Privacy) Ordinance. HKIB recognises the sensitive and highly confidential nature of much of the personal data it handles and maintains a high level of security in its work. HKIB does its best to ensure compliance with the Ordinance by providing guidelines to, and monitoring the compliance of, the relevant parties.

For more details, please refer to the [Privacy Policy Statement](#) or contact us at the address and telephone number below:

The Hong Kong Institute of Bankers
3/F Guangdong Investment Tower
148 Connaught Road Central, Hong Kong

Tel: (852) 2153 7800
Fax: (852) 2544 9946
Email: cs@hkib.org

Use of Personal Data in Direct Marketing

I do not wish HKIB to use my personal data in direct marketing relating to HKIB's training programmes, examinations, professional qualifications, membership services, events, publications and professional development opportunities through the following channel(s) (please "✓" to select):

☐ Weekly E-News ☐ Email ☐ Telemarketing ☐ WhatsApp

Unless otherwise indicated above, HKIB may continue to use your personal data for direct marketing through the relevant communication channels in accordance with its Privacy Policy Statement.

Digital Credential Verification Preference

HKIB operates a Digital Credential System (DCS) that enables authorised employers and other authorised verification parties to verify professional qualifications and certifications awarded by HKIB. Unless you opt out below, HKIB will make the following credential information available through the DCS for credential verification purposes:

- Qualification achieved
- Certification status
- Award date
- Certification validity status

The purpose of this disclosure is to facilitate professional credential verification.

Please note that if you opt out, authorised verification parties may not be able to verify your HKIB credentials through the DCS. You may change your preference at any time through MyHKIB.

☐ I do not wish HKIB to disclose or verify my credential information through the DCS.

FOR INSTITUTE USE ONLY			
Received by:		(Staff Name)	
			(Date)
Assessed by:		(Staff Name)	
			(Date)
☐ Approved / ☐ Rejected by:		(Staff Name)	
			(Date)
Remarks: 			

Section E: Acknowledgement and Declaration

- I declare that all information I have provided in this form is true and correct.
- I authorise HKIB to obtain from the relevant authorities any information about my qualifications and/ or employment as required for my application.
- I acknowledge that HKIB has the right to withdraw approval of the certification if I do not meet the requirements. I understand and agree that HKIB may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary action for any misrepresentation (whether fraudulent or otherwise) in this application.
- I confirm that I have read and understood the [Privacy Policy Statement](http://www.hkib.org) set out on the HKIB website at <http://www.hkib.org>, and consent to the terms set out therein. I also understand that HKIB will use the information provided and personal data collected for administration and communication purposes.

Document Checklist

To facilitate the application process, please check the following items before submitting them to HKIB. Failure to submit the required documents may cause delays or termination of application. Please “✓” the appropriate box(es).

- ☐ All necessary fields on this application form filled in including your signature
- ☐ Copies of the CB and ECF diplomas/certificates

Signature of Applicant

Date

Name: